The WealthPlan LLC
FOUR SEASONS OF FINANCIAL PLANNING

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The WealthPlan LLC



GROW

High Conviction Stocks

How High Conviction Stocks fit into a diversified portfolio

Why High Conviction Stocks Matter

Most portfolios spread money across hundreds, even thousands of companies, which smooths the ride but waters down your best ideas. High Conviction Stocks does the opposite: a short, research-driven list of names worth owning with real weight. Held as a small, aggressive slice alongside a diversified core, it gives your strongest ideas room to move the needle.

What This Strategy Includes

This strategy holds seven individual U.S. stocks, each earning its place through a disciplined screen.

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High-conviction names

My strongest single-stock ideas, each held with real weight

Mixed across the market

Spread across industries, company sizes, and growth profiles

Each name clears a disciplined, five-step screen before it earns a spot:

1. **Zacks Rank**
2. **Industry Strength**
3. **Valuation**
4. **Fundamentals**
5. **Broker Sentiment**

Benefits & Tradeoffs

Benefits	Tradeoffs
✓ Concentrates capital in a handful of high-conviction ideas, so winners carry real weight	✗ Far fewer stocks means bigger swings, both up and down
✓ Built from a disciplined screen, then narrowed by my own added research	✗ Built for growth, not income, so do not expect steady dividends or yield
✓ Rebalanced every January, so the list stays current without you watching it	✗ Best for patient, aggressive investors. The payoff comes from holding this strategy long-term since it's boom or bust

Strategy Specifics

FUND TYPE	EXPENSE RATIO	HOLDINGS	LIQUIDITY
Individual Stocks	None	~7	Daily

How This Fits Your Plan

While allocations are case-by-case, I typically recommend up to a 10 percent allocation to High Conviction Stocks. Because the companies turn over each year, it fits best in a tax advantaged account like an IRA or Roth IRA to not trigger taxable gains.

I'm glad to walk through the numbers with you. Curious whether our High Conviction Stocks strategy belongs in your portfolio? Let's talk!

Book Time With David

Call or Text David at (516) 400-7111

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